



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

to application of

Docket No: Q37865

Keembleo TACHIBANA at al.

Apple. No.: 09/500,446

Group Ast Unit: 1711

Confirmation No.: 2630

Examiner: Melanio D. Bissett

Pilod: Pebruary 09, 2000

Por: WATERSTOP SHALING MATERIAL

DECLARATION UNDER 37 C.F.R. § 1.132

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sic

I, Katanhiko Tachibana, hereby declare and state:

THAT I am a citizen of Japan; and

THAT I am a co-inventor of the invention disclosed and claimed in the above-identified application.

I have performed or have had performed under my direct supervision and control the experimentation described below.

EXPERIMENTATION

Into a four-necked expanable finals equipped with an agitator, a temperature indicator and a water-expecting tube were charged 200 g of polycoprolectoracied which is liquid at room temperature (PLACCEL, L212AL produced by Dalcel Chemical Industries, Ltd.; hydroxyl number: 89.4 KOH mg/g) (hydroxyl group: 0.519 equivalent), 15.94 g of succinic anhydride

(acid group: 0.319 equivalent), and as a catalyst 79 mg of dibutyitin oxide (abbreviated as DBTO benchmarks) (0.1 equivalent %). In the presence of a small amount of toluene as a solvent for discharging maction water, the mixture was heated with stiming to a temperature of 180°C and then kept at the temperature. After a while, water was discharged and separated, showing that the reaction started. After about 3 hours, a polyaster having a weight-average molecular weight of 65,000 was obtained.

The resulting polyester was diluted with tolurue to obtain a 50% by weight solid content concentration. An adhesive composition was proposed by adding a hazarmity-lenedii-covarate adduct of trimethyle-propose (Coronate EL produced by Rippon Polymethane Industry Co., Ltd.) as a consultaking agent in an amount of 2 parts based on 100 parts of the solid content of the polyester.

A waterstop scaling material (Example 2-4) was then prepared in the same manner as in Example 2-3 in the present application, except that the adhesive composition prepared above was used instead of the polyecter-based attentive composition in Example 2-3. The sample was evaluated and the results are summarized below.

EVALUATION RESULTS

	U-shaped Waterstop Test		High Pressure
	Immediately after	After 168 hours of	Rouning Waterstop
	- musumed	EO°C aging	Text
Example 2-4	Water stopped at	Water stopped at	Water stopped at
<u> </u>	compressibility of	compressibility of	compressibility of
	10%	10%	10%

EFFECTS OF THE ADDIESTVE LAYER THECENESS ON THE RESULTS

Usually, the thickness of an adhesive layer is 2 to 100 jum (page 15, times 19 to 20 of the specification). When the thickness is below 2 jum, the effect of providing an adhesive layer may not be exhibited due to a decrease in adhesiveness. On the other hand, in the case of a thickness of 100 jum or more, there is no advence effects on the scaling property. However, sometimes productivity deterioration can occur since bubbles tend to generate in the adhesive layer and the scaling tends to be roughened. Applicants wish to complicate that within this cause of thickness, the thickness of the school-ve layer never adversally affects the scaling property.

The waterstop sessing material in accordance with the invention of the present application exhibits a scaling capability under compression. However, what exhibits a scaling capability under compression is the fosma layer having a fosmed structure; the adhesive layer does not undergo compressed deformation. As a matter of course, the adhesive strength grows between

DRCLARATION UNDER 37 C.F.R. § 1.132 U.S. Apple. No. 09/100/446

the achievive material and the ashared matter by compression, thus resulting in better scaling. A sufficient adhesive strength can be estained with a pro-determined thickness.

This is clearly demonstrated by the comparison of Example 2-1 with Example 2-2. In Hample 2-1, a polyester-based adhesive layer of 30 jam is provided on the outenment layer. On the other hand, in Example 2-2, a polyester-based adhesive layer of 5 µm is provided on the outenment layer. In these two examples, the waterstop expebility is substantially equal.

In view of the above evidence and the evidence of record, I conclude that the present invention provides unexpectedly superior results.

I declare further that all statements made herein of my own knowledge are true and that all atatements made on information and belief are believed to be tone; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any putent issuing thereon.

Date: 09/17/03

Katauhiko Tachibana



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q57866

Katsuhiko TACHIBANA, et al.

Appln. No.: 09/500,446

Group Art Unit: 1711

Confirmation No.: 2630

Examiner: Melanie D. Bissett

Filed: February 09, 2000

For:

WATERSTOP SEALING MATERIAL

DECLARATION UNDER 37 C.F.R. § 1.132

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Katsuhiko Tachibana, hereby declare and state:

THAT I am a citizen of Japan; and

THAT I am a co-inventor of the invention disclosed and claimed in the above-identified application.

I have performed or have had performed under my direct supervision and control the experimentation described below.

EXPERIMENTATION

Into a four-necked separable flask equipped with an agitator, a temperature indicator and a water-separating tube were charged 200 g of polycaprolactonediol which is liquid at room temperature (PLACCEL L212AL produced by Daicel Chemical Industries, Ltd.; hydroxyl number: 89.4 KOH mg/g) (hydroxyl group: 0.319 equivalent), 15.94 g of succinic anhydride

(acid group: 0.319 equivalent), and as a catalyst 79 mg of dibutyltin oxide (abbreviated as DBTO hereinafter) (0.1 equivalent %). In the presence of a small amount of toluene as a solvent for discharging reaction water, the mixture was heated with stirring to a temperature of 180°C and then kept at the temperature. After a while, water was discharged and separated, showing that the reaction started. After about 3 hours, a polyester having a weight-average molecular weight of 65,000 was obtained.

The resulting polyester was diluted with toluene to obtain a 50% by weight solid content concentration. An adhesive composition was prepared by adding a hexamethylenediisocyanate adduct of trimethylolpropane (Coronate HL produced by Nippon Polyurethane Industry Co., Ltd.) as a crosslinking agent in an amount of 2 parts based on 100 parts of the solid content of the polyester.

A waterstop sealing material (Example 2-4) was then prepared in the same manner as in Example 2-3 in the present application, except that the adhesive composition prepared above was used instead of the polyester-based adhesive composition in Example 2-3. The sample was evaluated and the results are summarized below.

EVALUATION RESULTS

	U-shaped Waterstop Test		High Pressure
	Immediately after	After 168 hours of	Running Waterstop
	mounted	80°C aging	Test
Example 2-4	Water stopped at	Water stopped at	Water stopped at
	compressibility of	compressibility of	compressibility of
	10%	10%	10%

EFFECTS OF THE ADHESIVE LAYER THICKNESS ON THE RESULTS

Usually, the thickness of an adhesive layer is 2 to 100 µm (page 15, lines 13 to 20 of the specification). When the thickness is below 2 µm, the effect of providing an adhesive layer may not be exhibited due to a decrease in adhesiveness. On the other hand, in the case of a thickness of 100 µm or more, there is no adverse effects on the sealing property. However, sometimes productivity deterioration can occur since bubbles tend to generate in the adhesive layer and the surface tends to be roughened. Applicants wish to emphasize that within this range of thickness, the thickness of the adhesive layer never adversely affects the sealing property.

The waterstop sealing material in accordance with the invention of the present application exhibits a sealing capability under compression. However, what exhibits a sealing capability under compression is the foam layer having a foamed structure; the adhesive layer does not undergo compressed deformation. As a matter of course, the adhesive strength grows between

DECLARATION UNDER 37 C.F.R. § 1.132

U.S. Appln. No. 09/500,446

the adhesive material and the adhered matter by compression, thus resulting in better sealing. A

sufficient adhesive strength can be attained with a pre-determined thickness.

This is clearly demonstrated by the comparison of Example 2-1 with Example 2-2. In

Example 2-1, a polyester-based adhesive layer of 30 µm is provided on the outermost layer. On

the other hand, in Example 2-2, a polyester-based adhesive layer of 5 µm is provided on the

outermost layer. In these two examples, the waterstop capability is substantially equal.

In view of the above evidence and the evidence of record, I conclude that the present

invention provides unexpectedly superior results.

I declare further that all statements made herein of my own knowledge are true and that

all statements made on information and belief are believed to be true; and further that these

statements were made with the knowledge that willful false statements and the like so made are

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

Code, and that such willful false statements may jeopardize the validity of the application or any

patent issuing thereon.

Date:	
	Katsuhiko Tachibana

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